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CERTIFICATE OF MAIL

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, Box Missing Parts, on

Date: November 1, 2000

Signature: Carol Felenstein
Carol Felenstein

CCR&W Docket No. 6311-11

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of : Robert Rademacher, David Adkisson, David Maloy
Application Serial No.: 09/597,881
Filed: June 20, 2000
For: **LEVERAGE MARGIN MONITORING AND
MANAGEMENT**
Primary Examiner: Not Assigned
Group Art Unit: 2766

Commissioner for Patents
Washington, DC 20231
Attention: Box Missing Parts

TRANSMITTAL LETTER

Dear Sir:


Submitted herewith is

1. Executed Combined Declaration and Power of Attorney
2. Notice to File Missing Parts of Application

The Commissioner is hereby authorized to charge any fee which may be required or to credit any overpayment to Account No. 50-0521. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

Dated: November 1, 2000



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UNITED STATES PATENT AND TRADEMARK OFFICE

 COMMISSIONER FOR PATENTS
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/597,881	06/20/2000	Robert Rademacher	6311-11

 Clifford Chance Rodgers & Wells LLP
 200 Park Avenue
 New York, NY 10166-0153

FORMALITIES LETTER



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Date Mailed: 09/28/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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